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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/043,534	01/10/2002	Homer Chou	00044X215193	6245
29050	7590	11/19/2003	EXAMINER	
PHYLLIS T. TURNER-BRIM, ESQ., LAW DEPARTMENT CABOT MICROELECTRONICS CORPORATION 870 NORTH COMMONS DRIVE AURORA, IL 60504			VINH, LAN	
			ART UNIT	PAPER NUMBER
			1765	

DATE MAILED: 11/19/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/043,534	Applicant(s) CHOU ET AL.	
	Examiner Lan Vinh	Art Unit 1765	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 01 January 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-8, 13 and 15-27 is/are rejected.
- 7) ☒ Claim(s) 9-12 and 14 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) <u>1103</u> | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-8, 13, 15, 16-18, 20, 22-24, 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nishimoto et al (US 6,582,761) in view of Chopra et al (US 6,419,554)

Nishimoto discloses a an aqueous dispersion/a system for polishing for CMP comprising: ion-exchanged water (claimed liquid carrier), ammonium persulfate (col 19, lines 24-26), silane coupling agent (col 8, lines 10-15), polishing pad (col 24, lines 20-22) and metal abrasive (col 14, lines 41-44)

Unlike the instant claimed invention as per claim 1, Nishimoto does not specifically disclose using ammonium oxalate in his aqueous dispersion.

Chopra discloses a fixed abrasive chemical mechanical planarization using a solution comprises of ammonium oxalate (col 6, lines 6-7)

Hence, one skilled in the art would have found it obvious to modify Nishimoto's polishing solution by using ammonium oxalate as per Chopra because according to Chopra, ammonium oxalate is a preferred buffering agent employed to achieve the desired pH range (col 6, lines 6-22)

The limitations of claims 2-3, 13 have been discussed above.

Regarding claims 4-5, Nishimoto discloses that the polishing pad is porous polyurethane (col 24, lines 20-21), which reads on a non-abrasive pad

Regarding claims 6-8, Nishimoto discloses using silica /abrasive suspended in an aqueous dispersion (col 14, lines 41-43)

Regarding claim 15, Nishimoto discloses that the pH of the aqueous dispersion is from 2-9 (col 10, lines 14-15)

Regarding claims 16, 22, Nishimoto discloses polishing a portion of the substrate using the aqueous dispersion (col 27, lines 20-22)

Regarding claims 17-18, 20, 23-24, 26, Nishimoto discloses forming working film of silicon oxide, copper, tantalum (col 17, lines 8-17)

3. Claims 19, 21, 25, 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nishimoto et al (US 6,582,761) in view of Chopra et al (US 6,419,554) and further in view of Ni (US 6,503,766)

Nishimoto as modified by Chopra has been discussed above. Unlike the instant claimed inventions as per claims 19, 21, 25, 27, Nishimoto and Chopra do not disclose the specific removal rate ratio of the layer although Nishimoto discloses polishing a film composed of a lower dielectric layer (col 29, lines 35-37)

Ni, in a method for CMP, discloses that a polishing rate can be optimized by adjusting a polishing parameter such as polishing agent flow (col 6, lines 3-7)

Hence, one skilled in the art would have found it obvious to modify Nishimoto and Chopra to adjust the polishing agent flow to optimize the removal rate because Ni

discloses that the polishing removal rate is a result effective variable in the same field of endeavor.

Allowable Subject Matter

4. Claims 9-12, 14 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is a statement of reasons for the indication of allowable subject matter:

No prior art of record discloses using ureidopropyltrimethoxylane as a hydroxy coupling agent in a polishing solution.

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Sasaki (US 5,226,930) discloses a polishing solution comprises silica and silane (see abstract)

Conclusion

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lan Vinh whose telephone number is 703 305-6302.

The examiner can normally be reached on M-F 8:30-5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nadine Norton can be reached on 703 305-2667. The fax phone number for the organization where this application or proceeding is assigned is 703 872-9310.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703 308-0661.



LV

November 10, 2003